

02-28-02

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Express Mail EL839587535US  
**PATENT**  
Atty. Docket No. 30286-2

02/27/02  
1c541 U.S. PTO

**UTILITY PATENT APPLICATION TRANSMITTAL and FEE TRANSMITTAL**

Box PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir/Madam:

Transmitted herewith for filing is the patent application of:

Inventor(s): David Robin Tomlinson  
For: **APPARATUS FOR AND METHOD OF CONTROLLING PROPAGATION OF  
DECRYPTION KEYS**

1c868 U.S. PTO  
10/085163  
02/27/02

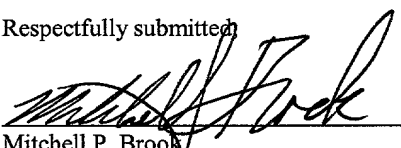
Enclosed are:

- ☒ Specification, Claims, and Abstract (18 pages)
- ☒ Sheet(s) of drawings (☐ formal, ☒ informal)  
Form PTO-1595 and an assignment of the invention to ☒ Will follow.
- ☒ A certified copy of priority document GB0121502.9 from which priority is claimed.  
☒ Will follow.
- ☐ An associate Power of Attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27. ☐ Will follow.
- ☒ Combined Declaration and Power of Attorney. ☒ Will follow.
- ☐ Information Disclosure Statement, Form PTO 1449, and ☐ cited references
- ☒ Postcard

(Col. 1)		(Col. 2)		SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE			\$370.00	\$	OR	\$740.00	\$ 740.00
TOTAL CLAIMS	17	- 20 = 0	× \$ 9.00	\$	OR	× \$18.00	\$ 0.00
INDEP CLAIMS	2	- 3 = 0	× \$ 42.00	\$	OR	× \$84.00	\$ 0.00
PRESENTATION OF MULTIPLE DEP. CLAIM			+ \$140.00	\$	OR	+ \$280.00	\$
			TOTAL	\$	OR	TOTAL	\$ 740.00

- ☒ Check Number 121217 in the amount of \$ 740.00 to cover the filing fee is enclosed.
- ☐ Check Number            in the amount of \$            to cover the Assignment Recordation Fee.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0683 of Luce, Forward, Hamilton & Scripps LLP.
  - A duplicate copy of this sheet is enclosed.
  - ☒ Any additional filing fees required under 37 CFR 1.16.
  - ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-0683. A duplicate copy of this sheet is enclosed.
  - ☐ Any patent application processing fees under 37 CFR 1.17.
  - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
  - ☐ Any filing fees under CFR 1.16 for presentation or extra claims.

Date: 2/27/02

Respectfully submitted  
  
\_\_\_\_\_  
Mitchell P. Brook  
Registration No. 32,967  
c/o LUCE, FORWARD, HAMILTON & SCRIPPS LLP  
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02.28.02

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02/27/02  
jc541 U.S. PTO

Docket No.: 30286-2  
Express Mail No. EL839587535US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	)	
David Robin Tomlinson	)	
	)	Art Unit: To be assigned.
Serial No.: To be assigned.	)	
Filed: February 27, 2002	)	Examiner: To be assigned
For: APPARATUS FOR AND METHOD	)	
OF CONTROLLING	)	
PROPAGATION OF DECRYPTION	)	
KEYS	)	

San Diego, California

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

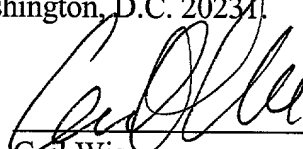
**CERTIFICATE OF EXPRESS MAILING**

Dear Sir:

"Express Mail" mailing label No. EL839587535US

Date of Deposit: February 27, 2002

I hereby certify that a Utility Patent Application Transmittal, Fee Transmittal (in duplicate), Check No. 121217 in the amount of \$740, Utility Patent Application including the Specification and Claims (18 pages), and Informal Drawings (FIGURES 1-5b on 5 pages) and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231



\_\_\_\_\_  
Gail Wise

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 740.00

## Complete if Known

Application Number

Filing Date

02/27/2002

First Named Inventor

David Robin Tomlinson

Examiner Name

Group Art Unit

Attorney Docket No.

30286-2

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-0683

Deposit  
Account  
Name

Luce, Forward, Hamilton &amp; Scripps

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description	Fee Paid
101	740	201	370		Utility filing fee	740.00
106	330	206	165		Design filing fee	
107	510	207	255		Plant filing fee	
108	740	208	370		Reissue filing fee	
114	160	214	80		Provisional filing fee	

SUBTOTAL (1) (\$ 740.00)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
17	-20** = 0	0.00	0.00
2	-3** = 0	0.00	0.00
Multiple Dependent			

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description	Fee Paid
103	18	203	9		Claims in excess of 20	
102	84	202	42		Independent claims in excess of 3	
104	280	204	140		Multiple dependent claim, if not paid	
109	84	209	42		** Reissue independent claims over original patent	
110	18	210	9		** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0.00)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type)

Mitchell P. Brook

Registration No.  
(Attorney/Agent)

32,967

Complete (if applicable)

Telephone

(858) 720-6300

Signature

Date

02/27/2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.